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## Haskell & White SEC Roundtable Series Delves into the Inner Workings of the SEC Review Process



WRITTEN BY JESSICA FIX

**IRVINE, Calif. – Sept. 21, 2011** – Irvine-based Haskell & White LLP, one of Southern California's largest independently owned accounting, auditing and tax consulting firms, provided a rare glimpse into the inner workings of the SEC's oversight and compliance programs in its latest roundtable discussion. The September 8 program featured insights from Ronald A. Kiima, former Assistant Chief Accountant with the SEC's Division of Corporate Finance.

"Navigating the SEC's review process requires a comprehensive understanding of what triggers these reviews and how to adequately prepare for them," said Wayne Pinnell, Managing Partner of Haskell & White. "This discussion provided public companies with the necessary tools to anticipate the review process, avoid costly amendments and effectively resolve inquiries and objections."

Key points discussed in the presentation included the following:

- Mandatory review triggers: initial public offerings, initial public registrations, three-year reviews under Sarbanes-Oxley
- Discretionary review triggers: largest market capitalizations, industry/sector leaders, emerging industries, stock price volatility, price-to-earnings (PE) disparities, non-disclosed triggers
- Full reviews vs. limited scope reviews
- Issues causing comments, including omitted disclosures, inconsistent disclosures, accounting divergences and hot topics

Launched in 2008, Haskell & White's SEC Roundtable Series is designed for financial executives of Southern California public and private companies as a forum to stay on the cutting edge of developments at the SEC and emerging trends in financial reporting. The series will continue in December with roundtable sessions scheduled in Costa Mesa and San Diego.

"Haskell & White maintains a strong commitment to the Southern California business community, and our SEC Roundtable Series is one example of the firm's dedicated effort to support the community of financial executives by providing a forum for networking and presenting information on current topics of interest," said Pinnell.

For information on future Haskell & White SEC roundtables, please contact Meagan Hayes at 949-650-6249.

### About Haskell & White LLP

Haskell & White LLP is one of the largest independently owned accounting, auditing and tax consulting firms in Southern California, servicing public and private middle-market companies. With locations in Irvine and San Diego, Haskell & White combines the expansive services, knowledge, experience and reach of national and international accounting firms with the personal attention, responsiveness and value of a local organization. Haskell & White works with companies in a broad range of industries including real estate, manufacturing, distribution, life science, technology, and retail. The firm provides solid expertise and services to its clients in the tax and audit disciplines, including advising SEC registrants and consulting on mergers and acquisitions. Further information on Haskell & White can be found on the firm's website, [www.hwcpa.com](http://www.hwcpa.com).

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